

NOVA SCOTIA AQUACULTURE REVIEW BOARD

RULES OF PROCEDURE RESPECTING ADJUDICATIVE HEARINGS

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NOVA SCOTIA AQUACULTURE REVIEW BOARD
RULES OF PROCEDURE RESPECTING ADJUDICATIVE HEARINGS

AUTHORITY

- 1** These Rules of Procedure respecting Adjudicative Hearings (hereinafter “the Rules”) are made pursuant to Section 48 of the *Fisheries and Coastal Resources Act* and apply to proceedings before the Nova Scotia Aquaculture Review Board under the *Fisheries and Coastal Resources Act* and the *Aquaculture Licence and Lease Regulations*.

OBJECT

- 2** The object of these Rules is to secure the just and speedy determination of every application.

DEFINITIONS

- 3** In these Rules, unless the context otherwise requires:
- (a)** “Act” means the *Fisheries and Coastal Resources Act*;
 - (b)** “Applicant” means any person whose application pursuant to s.49 of the Act is referred to the Board to hear and determine;
 - (c)** “Board” means the Nova Scotia Aquaculture Review Board;
 - (d)** “Clerk” means the Clerk of the Aquaculture Review Board;
 - (e)** “Intervenor” means a person who has been granted intervenor status pursuant to s. 23 of the Regulations.;
 - (f)** “Minister” means the Minister of Fisheries and Aquaculture;
 - (g)** “Party” means an applicant, an intervenor, the Minister or the Minister’s Designate;
 - (h)** “Public Participation” means either the right of the public to submit written comments to the Board pursuant to s.20 of the Regulations or the right of the public to participate at a hearing by making a sworn statement or affirmation pursuant to s.32 of the Regulations.
 - (i)** “Record” includes all the following:
 - i. any document, submission or exhibit filed in respect of an application with the Board
 - ii. a decision made by the Board under s. 49 of the Act;
 - (j)** “Regulations” means the *Aquaculture Licence and Lease Regulations*.

GENERAL

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- (1) No application before the Board shall be affected by any technical objection or by any objection based upon defects in form or procedure.

APPLICATIONS

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- (1) Every application referred to the Board shall include:
 - (a) for a new marine aquaculture licence or lease in a non-designated area
 - (i) any application information required by the Minister pursuant to s.46 and s.47 of the Act and s.11 of the Regulations;
 - (b) for an adjudicative amendment
 - (i) any application information required by the Minister pursuant to s.46 of the Act and s.13 and s.16 of the Regulations;

FILING

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- (1) Any document to be filed with the Board shall be filed with the Clerk.
- (2) A document may be filed with the Clerk by any of the following methods:
 - (a) delivering a copy to the Clerk, or Clerk's designate, at the Clerk's office;
 - (b) mailing a copy to the Clerk;
 - (c) such other manner as the Board may determine.
- (3) All documents filed shall be date stamped by the Clerk and any document filed on a Saturday, Sunday or holiday shall be considered filed with the Board on the next working day.
- (4) To be considered by the Board, all filings (intervenor status applications, written comments, hearing participation requests, submissions of evidence and any other documents) must be filed in accordance with the applicable filing deadline date requirements set by the Board.
- (5) Documents received by the Board after 4:30pm (local Nova Scotia time) on a prescribed deadline date will not be accepted or processed without leave of the Board.

PRIVACY OF INFORMATION

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- (1) Subject to Rule 14(1), all documents filed with the Board become part of the record of the adjudicative hearing.
- (2) The Board reserves the right to post all documents contained within the record of an adjudicative hearing publicly on the Board's website.
- (3) All documents submitted to the Board may be requested under the *Freedom of Information and Protection of Privacy Act* ("FOIPOP").
- (4) Per Rule 14(1) a party may request that all or part of any document be held in confidence by the Board, which request shall be placed on the record and as such may be requested under the FOIPOP.
- (5) Information withheld by the Board under Rule 14 may be released under a FOIPOP request unless it is exempt from release per the provisions of FOIPOP.

SERVICE

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- (1) Where any document is required to be served on a party, service shall be effected by any of the following methods:
 - (a) transmitting a copy to the party via e-mail, where the party has provided an e-mail address;
 - (b) sending a copy by ordinary mail addressed to the party at his or her proper address;
 - (c) personal service;
 - (d) delivering a copy to the party's proper address; or
 - (e) such other manner as the Board may determine.
- (2) Where the party is represented, service of a document shall be effected on the party's representative by any of the methods of service set out in Rule 8(1).
- (3) Any subpoena to a witness, including a party, shall be effected by personal service.
- (4) Service of a document may be proved by affidavit, oral testimony or both.

HEARING PLANNING

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- (1) The date of an adjudicative hearing must be set in accordance with s.18 of the Regulations.
- (2) The Board may hold a date assignment conference call with the parties to set the date of the adjudicative hearing and to discuss the need for a pre-hearing conference.
- (3) The location of an adjudicative hearing must be chosen in accordance with s.21 of the Regulations.
- (4) Public notice of an adjudicative hearing must be posted in accordance with s.19 of the Regulations.
- (5) Where the Board issues a hearing notice, it may make available a copy of the application documents contained within the record of an adjudicative hearing, not deemed confidential subject to Rule 14(2), on the Board's website.

INTERVENOR STATUS

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- (1) Where a hearing notice has been issued pursuant to s.19 of the Regulations, any person interested in applying for intervenor status at the adjudicative hearing, pursuant to s.23 of the Regulations, must file a completed *Intervenor Status Application* form with the Board in compliance with s.23 of the Regulations.

PUBLIC PARTICIPATION SUBMISSIONS AND REQUESTS

11 GENERAL

- (1) Written comment submissions and requests to participate at a public hearing to the Board must be filed with the Board no later fifteen (15) calendar days before the date of the adjudicative hearing and in accordance with Rule 6.
- (2) The Clerk will date stamp received written comment submissions and public participation requests.
- (3) Submissions described in Rules 12 and 13 must be specific to an individual adjudicative hearing and application.

12 SUBMISSION OF WRITTEN COMMENTS

- (1) Pursuant to s.20 of the Regulations, members of the public wanting to submit a written comment to the Board about an application that is before the Board must include, at minimum, the following information in their submission:

- (a) Their full name;
- (b) Their place of residence (civic address); and
- (c) The application about which they would like to provide comment and how the comment relates to 1 or more of the factors set out in section 3 of the Aquaculture Licence and Lease Regulations.

13 REQUEST FOR PUBLIC PARTICIPATION AT ADJUDICATIVE HEARING

- (1) Members of the public wishing to participate at an adjudicative hearing pursuant to s.32 of the Regulations must submit a written request to the Board including, at minimum, the following information:
 - (a) Their full name;
 - (b) Their place of residence (civic address); and
 - (c) The application about which they would like to provide a sworn oral statement or affirmation and how the comment relates to 1 or more of the factors set out in section 3 of the Aquaculture Licence and Lease Regulations.
- (2) Presentation order for those making sworn oral statements/affirmations will be based on the order that public participation submissions are received by the Clerk.
- (3) The Board reserves the right to limit a sworn oral statement or affirmation if, in the opinion of the Board, the statement is not related to the factors described in s. 3 of the Regulations or if the speaker is being unduly repetitious.

CONFIDENTIAL INFORMATION

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- (1) A party may request that all or any part of any document be held in confidence by the Board, which request shall be placed on the record.
- (2) The burden of satisfying the Board that a document should be held in confidence is on the party claiming confidentiality.
- (3) Any request for confidentiality shall:
 - (a) include a summary of the nature of the information in the document;
 - (b) state:
 - (i) the reasons for the request, including the details of the nature and extent of the specific harm that would result if the document were publicly disclosed, and
 - (ii) any objection to placing an abridged version of the document on the record, and the reasons for such an objection; and

- (c) be filed with the Clerk and served on the parties.
- (4) Where a party has made a request under Rule 14(1), the document shall be held in confidence unless the Board orders otherwise.
- (5) In ruling on a request for confidentiality the Board shall consider:
 - (a) whether the document may disclose sensitive financial, commercial or personal matters in relation to which the desirability of avoiding disclosure in the interest of any person affected outweighs the desirability of adhering to the principle that documents be available to the public; or
 - (b) such other matters as the Board deems appropriate.
- (6) The Board may:
 - (a) order that the document be held in confidence by the Board;
 - (b) order that the document be placed on the record;
 - (c) order that an abridged version of the document be placed on the record;
 - (d) order that the document be withdrawn; and
 - (e) make any other order the Board may deem to be in the public interest.

INFORMATION REQUESTS

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- (1) Before the commencement of an adjudicative hearing, the Clerk, on behalf of the Board, may contact a party to the hearing to request additional information about the evidence that the party has filed with the Board.
- (2) Information requests made by the Board to a party will be copied to all other parties.
- (3) Responses to information request must be filed with the Board in accordance with Rule 6 and copied to all other parties.

ADJUDICATIVE HEARINGS

16 GENERAL CONDUCT

- (1) Adjudicative hearings may be conducted in an informal manner and do not need to follow the strict rules of practice and procedures required in a court of law.
- (2) A party may be represented before the Board by counsel or an agent, as deemed appropriate by the Board.

17 WITNESSES

- (1) At least fifteen (15) calendar days before the date of an adjudicative hearing, a party who intends to present witness testimony at the adjudicative hearing as a part of their presentation must file a list of witnesses with the Board.
- (2) At the discretion of the Board, witnesses may be excluded from the hearing room until they are called to testify.

18 OATH / AFFIRMATION

- (1) Evidence at an adjudicative hearing shall be presented only after the person gives an oath or affirmation that the evidence will be the truth and shall be otherwise received only at the discretion of the Board.

19 PRE-HEARING CONFERENCE

- (1) The Chair may conduct a pre-hearing conference before an adjudicative hearing begins.
- (2) Notice of a pre-hearing conference will be issued to the parties by the Clerk and will aim to be given no later than three (3) calendar days before the date of the pre-hearing conference.

20 ORDER OF PROCEEDINGS

- (1) Unless varied by the Board, hearing testimony shall be offered in the following order:

(a) Presentation by the applicant

- i. The Board shall grant the applicant a reasonable amount of time to present its case to the hearing panel (Board) and to address issues raised in the preparation of its application (including the scoping report).
- ii. Upon completion of testimony by the applicant, questioning of the applicant by another party or by a member of the Board may be permitted at the discretion of the Chair in the following order:
 - a. Department of Fisheries and Aquaculture
 - b. Intervenor(s)

(b) Presentation by the Department of Fisheries and Aquaculture

- (i) The Board shall grant the Department a reasonable amount of time to present its case to the Board and to address issues raised during its review of the application.

(ii) Upon completion of testimony by the Department, questioning of the Department by another party or by a member of the Board may be permitted at the discretion of the Chair in the following order:

- a. Applicant
- b. Intervenor(s)

(c) Presentations by the intervenor(s)

- (i) An intervenor who has requested to make a presentation shall make that presentation after the initial presentations by the applicant and the Department of Fisheries and Aquaculture.
- (ii) The Board shall grant intervenors a reasonable amount of time to present their case(s), including all witness testimony, to the Board.
- (iii) Upon completion of testimony by an intervenor, questioning of the applicant by another party or by a member of the Board may be permitted at the discretion of the Chair in the following order:
 - a. Applicant
 - b. Department of Fisheries and Aquaculture

(d) Public participation

- (i) After the completion of presentations by the other parties, the Board will allow sworn oral statements or affirmations by any member of the public who submitted a request to participate pursuant to Rule 12.
- (ii) Presentations made by the public under this Rule must be conducted pursuant to s.32 of the Regulations.
- (iii) Members of the public not present in the hearing room when their name is called to give their sworn oral statement or affirmation will be moved to the end of the presentation list.
- (iv) Members of the public making presentations to the Board are not permitted to cross-examine (question) witnesses or the parties to the adjudicative hearing.
- (v) The Board may limit any oral statement or affirmation that it deems overly repetitious or does not meet the criteria laid out in s.32 of the Regulations.
- (vi) The Board may ask questions of those making sworn oral statements or affirmations to ensure the Board's understanding of the statements or affirmations being presented.

(e) Final response and summation by parties

- (i) Before the close of the adjudicative hearing, the parties shall be given the opportunity to make a final presentation to the Board in response to matters raised at the hearing.
- (2) To prevent undue repetition, the Board may limit the duration of a presentation at an adjudicative hearing.

21 QUESTIONS AT THE ADJUDICATIVE HEARING

- (1) All questions at an adjudicative hearing will be handled pursuant to s.33 of the Regulations.

22 EVIDENCE

- (1) Evidence submitted to the Board to support a party's position must be done in accordance with s.30 of the Regulations.
- (2) The Board may receive in evidence any statement, document, information or matter that, in the opinion of the Board, may assist it to deal with the matter before the Board whether the statement, document, information or matter is given or produced under oath or would be admissible as evidence in a court of law.
- (3) All evidence received by the Board at an adjudicative hearing will be handled pursuant to s.31 of the Regulations.

23 ADJOURNING AND RECONVENING AN ADJUDICATIVE HEARING

- (1) Adjournment and reconvening of an adjudicative hearing will be handled pursuant to s.29 of the Regulations.

24 RECORD OF AN ADJUDICATIVE HEARING

- (1) The record of an adjudicative hearing must be kept in accordance with s.35 of the Regulations.

25 RECORDING OF ADJUDICATIVE HEARINGS

- (1) Adjudicative hearings may be audio recorded by the Board; and
- (2) Audio and video recording of adjudicative hearings, other than recordings made by the Board, is not permitted.

HEARING DECISION

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- (1) Only members of the Board who are present at the adjudicative hearing can decide on the application.

- (2) All Board decisions shall be written and issued pursuant to s.36 of the Regulations.

These *Board Rules* were approved and adopted by the Nova Scotia Aquaculture Review Board at a Board meeting held on the 31st of May 2018

Signed: Carla Buchan, Clerk to the Board